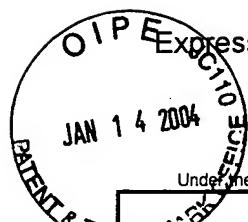


01-16-04



Express Mail No. EV335516861US

Attorney Docket No. 108298522US1
Disclosure No. 99-1354.01

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-00321
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number 10/620,713
		Filing Date July 15, 2003
		First Named Inventor Scott E. Moore
		Art Unit 2877
		Examiner Name Richard A. Rosenberger
Total Number of Pages in This Submission 13*		Attorney Docket Number 108298522US1

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosures: Check Return Receipt Postcard Cited Reference (1)
Remarks		

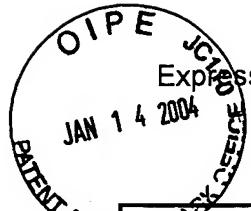
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paul T. Parker Registration No. 38,264
Signature	
Date	January 13, 2004

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	
Signature	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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* Number of Pages does not include Cited References



Express Mail No. EV335516861US

Attorney Docket No. 108298522US1

Disclosure No. 99-1354.01

PTO/SB/17 (05-03)

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$180)**

Complete if Known	
Application Number	10/620,713
Filing Date	July 15, 2003
First Named Inventor	Scott E. Moore
Examiner Name	Richard A. Rosenberger
Art Unit	2877
Attorney Docket No.	108298522US1

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																																																					
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 50-0665 Deposit Account Name Perkins Coie LLP The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any underpayments during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee Code</td> <td>Fee Code</td> <td>Fee Code</td> <td>Fee Description</td> <td>Fee Paid</td> </tr> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English Specification</td> <td></td> </tr> <tr> <td>1612</td> <td>2,520</td> <td>1612</td> <td>2,520</td> <td>For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252</td> <td>210</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253</td> <td>475</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254</td> <td>740</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255</td> <td>1,005</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>330</td> <td>2401</td> <td>165</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402</td> <td>165</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403</td> <td>145</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - 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late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English Specification		1612	2,520	1612	2,520	For filing a request for ex parte reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	420	2252	210	Extension for reply within second month		1253	950	2253	475	Extension for reply within third month		1254	1,480	2254	740	Extension for reply within fourth month		1255	2,010	2255	1,005	Extension for reply within fifth month		1401	330	2401	165	Notice of Appeal		1402	330	2402	165	Filing a brief in support of an appeal		1403	290	2403	145	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,330	2453	665	Petition to revive - unintentional		1501	1,330	2501	665	Utility issue fee (or reissue)		1502	480	2502	240	Design issue fee		1503	640	2503	320	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt	180	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		1801	770	2801	385	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		Other fee (specify) _____						*Reduced by Basic Filing Fee Paid						SUBTOTAL (3) (\$ 180)					
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*or number previously paid, if greater; For Reissues, see above																																																																																																																																																																																																									

SUBMITTED BY					(Complete if applicable)	
Name (Print/Type)	Paul T. Parker	Registration No. (Attorney/Agent)	38,264	Telephone (206) 359-3258		
Signature	<i>PT Parker</i>			Date	January 13, 2004	

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Express Mail No. EV335516861US

Attorney Docket No. 108298522US1
Disclosure No. 99-1354.01

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: SCOTT E. MOORE

APPLICATION No.: 10/620,713

FILED: JULY 15, 2003

FOR: PLANARIZING MACHINES AND CONTROL
SYSTEMS FOR MECHANICAL AND/OR
CHEMICAL MECHANICAL PLANARIZATION
OF MICROELECTRONIC SUBSTRATES

EXAMINER: RICHARD A.
ROSENBERGER
ART UNIT: 2877
CONF. NO: 9587

**Information Disclosure Statement After First Office Action but
Before Final Action or Notice of Allowance – 37 C.F.R. § 1.97(c)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

The information transmitted herewith is being filed *after* three months of the filing date of this application or after the mailing date of the first Office action on the merits, whichever occurred last, but *before* the mailing date of either a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, whichever occurs first. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

Copies of the following references are enclosed:

All cited references
 The following: WO 99/56078

Copies of the following references can be found in parent U.S. Application No. 09/651,240, now U.S. Patent No. 6,609,947:

All cited references
 References marked by a pound sign (#)

01/21/2004 JADD01 0000005 10620713

01 FC:1806

180.00 OP

This application was filed after 30 June 2003 and no copies of U.S. patents nor published applications are enclosed (See Notice of Deputy Commissioner Kunin on 11 July 2003).

3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment (37 C.F.R. § 1.97(c)) or Certification (37 C.F.R. § 1.97(e))

Applicant elects to pay the fee under 37 C.F.R. § 1.17(p). \$180.00.

Check enclosed for \$180.

Please charge the above fee(s) to Deposit Account No. 50-0665 this paper is provided in triplicate.

Please charge any underpayment for timely filing of this paper to Deposit Account No. 50-0665.

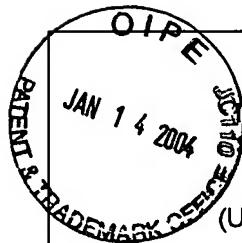
Respectfully submitted,
Perkins Coie LLP

Date: January 13, 2004

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Registration No. 38,264

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(206) 359-8000



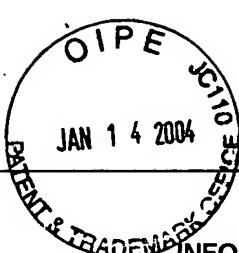
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
Form PTO-1449 (Modified)
(Use several sheets if necessary)

				COMPLETE IF KNOWN	
Sheet	1	of	9	Application Number	10/620,713
				Confirmation Number	9587
				Filing Date	July 15, 2003
				First Named Inventor	Scott E. Moore
				Group Art Unit	2877
				Examiner Name	Richard A. Rosenberger
				Attorney Docket No.	108298522US1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
		4,200,395		Smith et al.	04/29/1980	
		4,203,799		Sugawara et al.	05/20/1980	
		4,358,338		Downey et al.	11/09/1982	
		4,367,044		Booth Jr. et al.	01/04/1983	
		4,377,028		Imahashi	03/22/1983	
		4,422,764		Eastman	12/27/1983	
		4,640,002		Phillips et al.	02/03/1987	
		4,660,980		Takabayashi et al.	04/28/1987	
		4,717,255		Ulbers	01/05/1988	
		4,879,258		Fisher	11/07/1989	
		5,020,283		Tuttle	06/04/1991	
		5,036,015		Sandhu et al.	07/30/1991	
		5,069,002		Sandhu et al.	12/03/1991	
		5,081,796		Schultz	01/21/1992	
		5,196,353		Sandhu et al.	03/23/1993	
		5,220,405		Barbee et al.	06/15/1993	
		5,222,329		Yu	06/29/1993	
		5,232,875		Tuttle et al.	08/03/1993	
		5,240,552		Yu et al.	08/31/1993	
		5,244,534		Yu et al.	09/14/1993	
		RE 34,425		Schultz	11/02/1993	
		5,314,843		Yu et al.	05/24/1994	
		5,324,381		Nishiguchi	06/28/1994	
		5,369,488		Morokuma	11/29/1994	
		5,393,624		Ushijima	02/28/1995	

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).

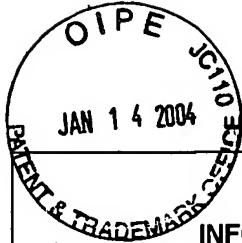


Attorney Docket No. 108298522US1
Disclosure No. 99-1354.01

INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO-1449 (Modified) (Use several sheets if necessary)				COMPLETE IF KNOWN	
				Application Number	10/620,713
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				Group Art Unit	2877
				Examiner Name	Richard A. Rosenberger
Sheet	2	of	9	Attorney Docket No.	108298522US1

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		NUMBER	Kind Code (if known)			
		5,413,941		Koos et al.	05/09/1995	
		5,433,651		Lustig et al.	07/18/1995	
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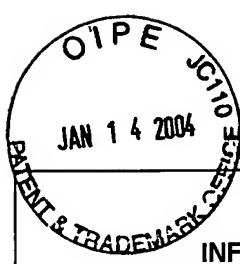
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				Group Art Unit	2877
				Examiner Name	Richard A. Rosenberger
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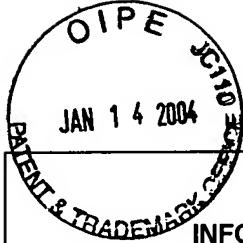
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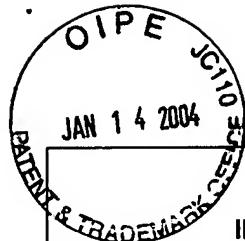
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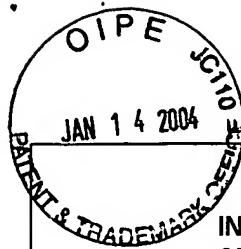


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